



ATTORNEYS DOCKET  
069547.0174

11-21-05

IFW  
PATENT APPLICATION  
10/767,699

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Thomas J. Daley, et al.  
Serial No.: 10/767,699  
Filing Date: January 29, 2004  
Group Art Unit: 3624  
Examiner: Unknown  
Title: *SYSTEM AND METHOD FOR CONTROLLING  
THE DISCLOSURE OF A TRADING ORDER*

**Mail Stop Amendment**  
Commissioner of Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

I hereby certify that the attached Information Disclosure Statement (2 pages), Form PTO-1449 (1 page) with 2 attached references, Baker Botts return postcard (1 postcard), and this Certificate of Mailing (1 page) are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 18th day of November 2005 and is addressed to the Commissioner of Patents, PO Box 1450, Alexandria, VA 22313-1450.

*Willie Jiles*  
\_\_\_\_\_  
Willie Jiles

Express Mail Receipt  
No. EV 732499652 US



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Alexandria, VA 22313-1450

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

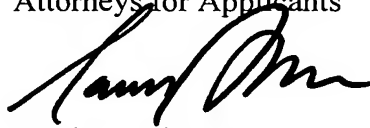
Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. The present application was filed after June 30, 2003. Therefore, under the July 11, 2003, waiver of 37 C.F.R. § 1.98(a)(2)(i) by the U.S. Patent and Trademark Office, copies of U.S. Patents and U.S. Patent Application Publications listed in the attached PTO-1449 form are not enclosed. Copies of all other references are enclosed for the convenience of the Examiner. No representation is made that a search has been made, that these references are material to patentability, or that these references qualify as prior art. The items contained in this Information Disclosure Statement were cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.

**REMARKS**

Pursuant to 37 C.F.R. § 1.97(b), Applicants believe this Information Disclosure Statement has been filed before the **issuance/mailing** date of the first Office Action. Therefore, Applicants believe no fee is due. However, if a fee is required, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

Baker Botts L.L.P.  
Attorneys for Applicants

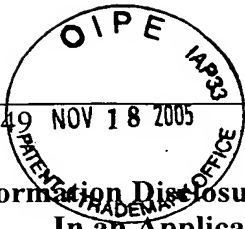


Samir A. Bhavsar  
Reg. No. 41,617

Date: November 18, 2005

Correspondence Address:

Customer No. **05073**



PTO-1449 NOV 18 2005 <b>Information Disclosure Citation In an Application</b>		Application No. 10/767,699		Applicant(s) Thomas J. Daley, et al.	
		Docket Number 069547.0174	Group Art Unit 3624	Filing Date January 29, 2004	

**U.S. PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	2004/0210505 A1	10/21/2004	Pourhamid	705	37	04/17/2003
	B	2004/0236662 A1	11/25/2004	Korhammer, et al.	705	37	05/20/2003
	C	2005/0044031 A1	02/24/2005	Lebedev	705	37	08/21/2003
	D						
	E						
	F						
	G						
	H						
	I						
	J						

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	K							
	L							
	M							
	N							
	O							

		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
	P	Notification of Transmittal of the International Search Report for Application no. PCT/US05/03166; 4 pages.	11/04/2005
	Q	Written Opinion of the International Searching Authority for Application No. PCT/US05/03166; 5 pages.	11/04/2005
	R		

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. PATENT AND TRADEMARK OFFICE